Modern Database Systems

Other types of modern (not only) database systems

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Modern Data Management Systems

- NoSQL databases
 - \Box Non-core XML, object, ...
 - □ Core key/value, column, document, graph
- Multi-model databases and polystores
- NewSQL databases
- Array databases
- Search engines
 - Elasticsearch, Splunk, Solr, …
- And there is also a number of specialized DBMSs
 Navigational, multi-value, event, content, time-series, ...

NewSQL Databases

NewSQL Databases



- Idea (from 2011): scalable storage + all functionality known from traditional relational databases
 - Not just SQL access, but classical relational model, ACID properties, …
 - Previously ScalableSQL

Aslett, M.: *What We Talk about When We Talk about NewSQL*. 452 Group, 2011. <u>http://blogs.the451group.com/information_management/2011/04/06/what-we-talk-about-when-we-talk-about-newsql/</u>

Stonebraker, M.: *New SQL: An Alternative to NoSQL and Old SQL for New OLTP Apps*, 2011. <u>https://cacm.acm.org/blogs/blog-cacm/109710-new-sql-an-alternative-to-nosql-and-old-sql-for-new-oltp-apps/fulltext</u>

NewSQL Databases

- Approaches:
 - Distributed systems which add advantages of relational model + ACID
 - e.g. Clustrix, ScaleArc, MemSQL, VoltDB, ...
 - Relational DBMSs extended towards horizontal scalability
 - e.g. TokuDB, JustOne DB, ..
- Cloud: NewSQL as a Service

□ Special type of a cloud service = scalable relational DBMS

 e.g. Amazon Relational Database Service, Microsoft Azure Database, …



NewSQL Databases

Why do we need them?

- 1. There are applications which work with relational databases + they need to solve new increase of data volumes
 - Transformation to any NoSQL data model would be too expensive
- There are application which still need strong data consistency + horizontal scalability
- Consequence: Again NewSQL does not mean the end of traditional SQL (relational) DBMSs
 - An alternative approach we need alternatives and there will occur other

Stonebraker, M. et al.: *The end of an architectural era: (it's time for a complete rewrite)*. VLDB '07.

VOLTDB

Based on academic DBMS H-System

- Developed by researchers from US top universities (including M. Stonebraker) + Intel
- □ Aim: relational model + ACID + horizontal scalability
- User perspective: classical relational DBMS
 - □ CREATE / ALTER / DROP TABLE, INSERT INTO, CHECK constraints, SELECT (including GROUP BY), set operations, nested queries, stored procedures, database views, ...

Big Data

- Automatic data distribution
 - Users can specify according to which column to distribute
 - □ Customers: cities, countries, type, …
- □ Shared-nothing architecture
 - Nodes in the cluster do not share memory, disk space, …
 - Autonomous parts which communicate using messages

VOLTDB and research behind

 Observation: Traditional databases spend less than 10% of their time doing actual work

Most of the time they focus on:

- 1. Page Buffer Management
 - Assigns database records to fixed-size pages, organizes their placement within pages, manages which pages are loaded into memory / are on disk, tracks dirty / clean pages as they are read and written to, ...
- 2. Concurrency Management
 - Multiple user transactions operating concurrently must not conflict and must read consistent data
 - Database software has multiple threads of execution = data structures must be thread safe

VOLTDB

In-memory database

- Data are primarily processed in memory
 - Durability: command log (enterprise edition) / snapshots (community edition)
- Eliminating disk waits
- All data operations in VoltDB are single-threaded
 - Simple data structures
 - Eliminating thread safety or concurrent access costs
- Distributed data processing
 - □ Includes <u>distribution of stored procedures</u>
 - Thanks to an analysis and pre-compilation of the data access logic in the procedures
 - Procedures work with local part of the data in separate transactions
 - □ 1 stored procedure = 1 transaction
 - Local transactions are serialized = no conflicts
 - No need for locks etc.
 - Distributed data processing works in parallel



VOLTDB

Replication

- Partitions: peer-to-peer
- Whole databases: peer-to-peer or master/slave
- Each node in the cluster contains a unique "slice" of the data and the data processing
 - Data + stored procedures
- Processing:
 - 1. When a procedure works with data on a single node (partition): no requests for other nodes
 - They can handle other requests in parallel
 - 2. Need for data from multiple nodes (partitions):
 - 1. One node in the cluster becomes a coordinator
 - 2. It hands out the necessary work to the other nodes
 - 3. It merges the results and ends the procedure

Array Databases

Array Databases

 Database systems specific for data represented as one- or multi-dimensional arrays



- Usually: We need to represent the respective values in time and/or space
 - □ Biology, chemistry, physics, geology, …
 - Complex research analyses of natural events
 - e.g. astronomical measurements, changes of climate, satellite pictures of the Earth, oceanographic data, human genome, ...
- Example: Each satellite picture is a 2D-array (longitude + latitude) with values informing about the particular positions
 - Next dimensions: time when the picture was taken, characteristics of the tool taking the picture, …

Array Databases

In general:

- □ Big Data of a specific type
- Data <u>not</u> suitable for flat 2D relations
 - Some RDBMSs support arrays
 - Too simple operations for these purposes
 Not efficient
- Examples: SciDB, Rasdaman, Oracle Spatial and Graph, ...







- Provided by paradigm4
 - Co-founder: M. Stonebraker
- One of the most popular representatives
 Wide range of functionalities
- Data model
 - □ Multidimensional sorted array
- If not explicitly specified
- Assumption: data are not overwritten
 - Update = creating a new version of data
 - Aim: analyses of evolution/errors/corrections/... in time

AFL (Array Functional Language)

AQL (Array Query Language)

- Inspired by SQL
- □ Instead of tables we work with arrays
 - Wider set of operations for DDL, DML
- Compiled into AFL

```
CREATE ARRAY A <x: double, err: double> [i=0:99,10,0,
    j=0:99,10,0];
```

LOAD A FROM '.../examples/A.scidb';

- Each array has:
 - □ At least one attribute (x, err) with a datatype (2x double)
 - □ At least one dimension (i, j)
 - □ Each dimension has :
 - coordinates (0-99)
 - size of data chunks (10 fields) and
 - eventual overlapping (0)

SciDB distributes the chunks of data

- Not too big, not too small
- Recommendation: 10-20 MB
 - Depending on the datatypes
- Coordinates do not have to be limited (*)
- Overlapping is optional
 - □ Suitable, e.g., for faster searching nearest neighbours
 - The data would probably be otherwise stored on another cluster node

```
// create two 1D arrays
CREATE ARRAY A <val_a:double>[i=0:9,10,0];
LOAD A FROM '../examples/exA.scidb';
CREATE ARRAY B <val_b:double>[j=0:9,10,0];
LOAD B FROM '../examples/exB.scidb';
```

```
// print values of <u>coordinate</u> i from array A
SELECT i FROM A;
[(0),(1),(2),(3),(4),(5),(6),(7),(8),(9)]
```

```
// print values of <u>attribute</u> val_a from array A and val_b from
// array B
SELECT val_a FROM A;
[(1),(2),(3),(4),(5),(6),(7),(8),(9),(10)]
SELECT val_b FROM B;
[(101),(102),(103),(104),(105),(106),(107),(108),(109),(110)]
```

```
// usage of WHERE clause + sqrt() function
SELECT sqrt(val_b) FROM B WHERE j > 3 AND j < 7;
[(),(),(),(),(10.247),(10.2956),(10.3441),(),(),()]</pre>
```

- SELECT commands
 - □ Basic operation: inner join
 - Joined arrays must be compatible (coordinates, chunks, overlapping)
 - □ Amounts and datatypes of attributes can differ
 - □ Attributes are merged according to the given operation (condition)
 - □ Other joins: MERGE, CROSS, CROSS_JOIN, JOIN ON (a condition), ...
 - □ Nested queries, aggregation (GROUP BY), sorting, ...

// joining values of arrays A and B and storing to array C
SELECT * INTO C FROM A, B;
[(1,101),(2,102),(3,103),(4,104),(5,105),(6,106),(7,107),(8,108),(9,109),(10,110)]

// joining values of arrays C and B and storing to array D
SELECT * INTO D FROM C, B;
[(1,101,101),(2,102,102),(3,103,103),(4,104,104),(5,105,105),(6,106,106),(7,107,107),
(8,108,108),(9,109,109),(10,110,110)]

// print information about array D (see attributes with the same name)
SELECT * FROM show(D);
[("D<val a:double,val b:double,val b 2:double> [i=0:9,10,0]")]

// joining the values by addition
SELECT C.val_b + D.val_b FROM C, D;
[(202),(204),(206),(208),(210),(212),(214),(216),(218),(220)]

// self-joining of values
SELECT a1.val_a, a2.val_a + 2 FROM A AS a1, A AS a2;
[(1,3),(2,4),(3,5),(4,6),(5,7),(6,8),(7,9),(8,10),(9,11),(10,12)]

More on Arrays

- Loosely based on *n*-dimensional matrices of linear algebra
- Each SciDB array consists of
 - □ Name
 - Ordered list of named dimensions
- Cell
 - □ Product of an array's dimensions
 - □ Record (tuple) of one or more named, typed, attributes
- Array's dimensions have a precedence order
 - E.g., array B is declared with dimensions [x, y, z], C with the same dimensions in different order [z, y, x] => shape of B differs from C



More on Arrays

SciDB arrays can either be sparse or dense

- No internal distinction between them
- □ Users can apply every operator to sparse or dense arrays

SciDB can handle:

- Dense data
 - e.g., images, mathematical matrices where every cell has value

Time series data

- Typically with gaps in the series
- □ Very sparse arrays
 - e.g. adjacency matrices to represent graphs
- Handling missing information
 - □ Specify a default value for an attribute or by using a missing code
 - □ Similar to the concept of a SQL null value
 - SciDB supports up to 128 codes = different kinds of missing-ness

Algebraic Operators

- Filter array data
- Calculate new values
- Combine data from multiple arrays
- Divide input arrays into partitions and compute various per-partition aggregates
 - □ Sum of values, centroid of a set of vectors, ...
- Compute linear algebraic results
 - Matrix/matrix and matrix/vector multiply, array factorizations, image processing transformations, ...
 - · . .
- And they can be chained to form complex operations

Array: input < a:int32 > [I=0:6,J=0:8]

Dimension: I[0:6]	06	54	55	56	57	58	59	60	61	62
	05	45	46	47	48	49	50	51	52	53
	04	36	37	38	39	40	41	42	43	44
	03	27	28	29	30	31	32	33	34	35
	02	18	19	20	21	22	23	24	25	26
	01	9	10	11	12	13	14	15	16	17
	00	0	1	2	3	4	5	6	7	8
		00	01	02	03	04	05	06	07	08

between (input, 2, 2, 5, 5)



Dimension: I[0:6]

	00	01	02	03	04	05	06	07	08
00									
01									
02			20	21	22	23			
03			29	30	31	32			
04			38	39	40	41			
05			47	48	49	50			
06									

Dimension: J[0:8]

→

Dimension: J[0:8]



Dimension: I[0:6] Array: output < a:int32 > [I=0:6,J=0:8]

	00	01	02	03	04	05	06	07	08
00	0		2		4		6		8
01		10		12		14		16	
02	18		20		22		24		26
03		28		30		32		34	
04	36		38		40		42		44
05		46		48		50		52	
06	54		56		58		60		62

regrid (input, 2, 2, avg (a) as a_avg)





Array: input < a:int32 > [I=0:6,J=0:8]



Dimension: J[0:8]



Dimension: J[0:41

Query Evaluation

- Query = series of operators
- SciDB figures out an efficient, parallel execution strategy
 - Moves operators, injects new ones, replaces a particular sequence with a more efficient and logically equivalent alternative, ...
- SciDB engine = data pump
 - Does not materialize intermediate results
 - Unless it is absolutely necessary
 - Passing data from the storage layer through a sequence of operators to compute the final result

Array A

- Contrasts with the Map/Reduce model in Hadoop
 - Each link in a chain of Map/Reduce operations writes back to HDFS



Temporary Arrays

Can improve performance

□ User-defined

- Do not offer the transactional guarantees of persistent arrays (ACID)
- Are not persistent (saved to disk)
 - □ In memory
- Become corrupted if a SciDB instance fails
 - When a SciDB cluster restarts, all temporary arrays are marked as unavailable

But not deleted; must be deleted explicitly

Do not have versions

□ Any update overwrites existing attribute values

Array Attributes

- Store individual data values in array cells
- Consist of:
 - Name
 - Data type
 - □ Nullability (optional)
 - Default value (optional)
 - If unspecified, the system chooses a value:
 - □ If the attribute is nullable: null
 - \Box Otherwise:
 - 0 for numeric types
 - empty string "" for string type
 - Compression type (optional): zlib or bzlib

Datatype	Default value	Description
bool	false	Boolean value, true (1) or false (0)
char	\0	Single ASCII character
datetime	1970-01-01 00:00:00	Date and time
datetimetz	1970-01-01 00:00:00 -00:00	Date and time with timezone offset.
double	0	Double-precision floating point number
float	0	Single-precision floating-point number
int8	0	Signed 8-bit integer
int16	0	Signed 16-bit integer
int32	0	Signed 32-bit integer
int64	0	Signed 64-bit integer
string	"	Variable length character string, default is the empty string
uint8	0	Unsigned 8-bit integer
uint16	0	Unsigned 16-bit integer
uint32	0	Unsigned 32-bit integer
uint64	0	Unsigned 64-bit integer

Array Dimensions

- Form the coordinate system for a SciDB array
- Consist of:
 - Name
 - If only the name is specified: SciDB leaves the chunk length unspecified, uses the default overlap of zero, and makes the dimension unbounded.
 - □ Low value dimension start value
 - □ High value dimension end value (or * for no limit)
 - Chunk overlap (optional) number of overlapping dimension values for adjacent chunks
 - Chunk length (optional) number of dimension values between consecutive chunk boundaries
 - 1-dimensional array: maximum number of cells in each chunk
 - n-dimensional array: maximum number of cells in each chunk is the product of the chunk length parameters of each dimension

Multidimensional Array Clustering

Makes sure that:

- 1. Data that are close to each other in the user-defined coordinate system are stored in the same chunk
- 2. Data are stored in the same order as in the coordinate system
- Different attributes are stored separately
- Data are split into rectilinear chunks
 - Chunks are assigned to different SciDB instances using a hash function
- Data in each chunk are stored in a contiguous region
- Data are compressed
- The locations of empty cells are encoded using a special bitmask EBM
- Coordinate values themselves are not stored, but are recomputed when needed from the EBM



Multidimensional Array Clustering

- Users can specify an optional overlap of chunks
 - Data in the overlap regions are replicated in the logically adjacent chunks
- Overlap is maintained automatically by the database
 - SciDB turns window queries into parallel operations that require no special programming on the part of the developer
- The overlap uses slightly more storage space but gives faster performance
 - $\hfill\square$ To speed up windowed queries



SciDB Operators and Functions

Operators:

https://paradigm4.atlassian.net/wiki/spaces/sci db/pages/2694414589/SciDB+Operators

Functions:

https://paradigm4.atlassian.net/wiki/spaces/sci db/pages/2694416383/SciDB+Functions

Search Engines

Search Engines

elasticsearch

Sphinx

splunk>

- Sometimes denoted as search engine data management systems
- Differences from relational DBMSs
 - □ No rigid structural requirements
 - Data can be structured, semi-structured, unstructured, ...
 - No relations, no constraints, no joins, no transactional behaviour, ...
 - □ Use cases: relevance-based search, full text search, synonym search, log analysis, ...
 - Not typical for databases
 - Data can be large
 - Distributed computing
- Differences from NoSQL DBMSs
 - □ Primarily designed for searching, not editing
 - Specialized functions: full-text search, stemming, complex search expressions, ranking and grouping of search results, geospatial search,
 - Big Data analytics



- Distributed full-text search engine
 - Scalable search solution
- Released in 2010
- Written in Java
 - Based on Lucene library
- HTTP web interface
 - □ JSON schema-free documents
- Official clients: Java, .NET (C#), PHP, Python, Apache Groovy, Ruby, …
- Elastic Stack = Elasticsearch +
 - □ Logstash collects, processes, and forwards events and log messages
 - □ Kibana analytics and visualization platform





https://www.elastic.co/products/elasticsearch/



- Can be used for all kinds of documents
- Near real-time search
 - □ Slight latency (approx. 1 second) from the time you index (or update or delete) a document until the time it becomes searchable
- Index = collection of documents with similar characteristics
 - □ e.g., customer data, product catalogue, …
 - □ Has a name
 - $\hfill\square$ In a cluster there can be any number of indices
- Indices can be divided into shards
 - Each shard can have replicas
 - Rebalancing and routing are done automatically
- Each node can act as a coordinator to delegate operations to the respective shards



- When creating an index, define the number of shards and number of replicas
 - □ Note: index does not need to be defined beforehand
 - □ Each shard is in itself a fully-functional and independent index
 - □ Shards enable:
 - Horizontal scaling of large volumes of data
 - Parallelization of operations
 - □ Replicas enable:
 - High availability (partial failures)
 - Parallelization of operations
- Default: 5 primary shards and 1 replica
 - □ i.e., 10 shards per index





GET /_cat/indices?v

Get all indices

PUT /customer?pretty

Create index "customer" (and pretty print the result, if any)

PUT /customer/_doc/1?pretty

- { "name": "John Doe" }
- Index the given document with ID = 1

GET /customer/ doc/1?pretty

Get document with ID = 1

DELETE /customer/_doc/1?pretty

Delete document with ID = 1

DELETE /customer

Delete index "customer"

elasticsearch Data Modification

ID of a document

- If an existing is used: the document is replaced (and re-indexed)
- □ If a different is used: a new document is stored
 - The same one twice
- □ If none is specified: a random ID is generated
- Document updates
 - □ No in-place updates
 - A document is deleted and a new one is created and indexed



POST /customer/_doc/1/_update?pretty
{ "doc": { "name": "Jane Doe" } }
Description Change value of field "name" of document with ID = 1
POST /customer/_doc/1/_update?pretty
{ "doc": { "name": "Jane Doe", "age": 20 } }
... and add a new field

POST /customer/_doc/1/_update?pretty
{ "script" : "ctx._source.age += 5" }
... or use a script to specify the change

ctx._source = document content ctx._index = document metadata



```
POST /customer/_doc/_bulk?pretty
{"index":{"_id":"1"}} {"name": "John Doe" }
{"index":{"_id":"2"}} {"name": "Jane Doe" }
Index two documents
```

```
POST /customer/_doc/_bulk?pretty
{"update":{"_id":"1"}}
    {"doc": { "name": "John Doe becomes Jane Doe" } }
{"delete":{"_id":"2"}}

Update the first document, delete the second document
```



```
{ "account_number": 0,
  "balance": 16623,
  "firstname": "Bradshaw",
  "lastname": "Mckenzie",
  "age": 29,
  "gender": "F",
  "address": "244 Columbus Place",
  "employer": "Euron",
  "email": "bradshawmckenzie@euron.com",
  "city": "Hobucken",
  "state": "CO" }
Commente date act.
```

Sample data set



- Search parameters can be sent by:
 - REST request URI
 - REST request body
 - More expressive
 - More readable (JSON)?

GET /bank/ search?q=*&sort=account number:asc&pretty

- Search (_search) in the bank index,
- match all the documents (q=*),
- sort the results using the account_number field of each document in an ascending order (sort=account_number:asc)



{

elasticsearch Search API

```
"took" : 9,
"timed out" : false,
" shards" : {
 "total" : 1,
  "successful" : 1,
  "skipped" : 0,
 "failed" : 0
},
"hits" : {
  "total" : {
    "value" : 1000,
    "relation" : "eq"
  },
  "max score" : 1.0,
  "hits" : [
```

```
Ł
" index" : "holubova bank",
" type" : " doc",
" id" : "51",
" score" : 1.0,
" source" : {
  "account number" : 51,
  "balance" : 14097,
  "firstname" : "Burton",
  "lastname" : "Meyers",
  "age" : 31,
  "gender" : "F",
  "address" : "334 River Street",
  "employer" : "Bezal",
  "email" : "burtonmeyers@bezal.com",
  "city" : "Jacksonburg",
  "state" : "MO"
}
```

}, ...



- In the result we will see:
 - □ took time in milliseconds to execute the search
 - □ timed_out if the search timed out or not
 - □ _shards how many shards were searched
 - Total, successful, failed, skipped
 - □ hits search results
 - hits.total total number of documents matching our search criteria
 - hits.hits actual array of search results
 - Default: first 10 documents
 - hits.sort sort key for results

_ ...



GET /bank/_search

- { "query": { "match_all": {} },
 - "sort": [{ "account_number": "asc" }] }
- The same exact search using the request body method
- When all search results are returned, Elasticsearch does not maintain any kind of server-side resources or open cursors etc.
 - □ Contrary to, e.g., traditional relational databases



- Domain specific language
- JSON-style

https://www.elastic.co/guide/en/elasticsearch/reference/6.5/query-dsl.html



"query": { "match": { "account_number": 20 } }
■ Return the account numbered 20

"query": { "match": { "address": "mill" } }

Return all accounts containing the term "mill" in the address

"query": { "match": { "address": "mill lane" } }

Return all accounts containing the term "mill" or "lane" in the address

```
"query": { "match_phrase": { "address": "mill lane"
    } }
```

Return all accounts containing the phrase "mill lane" in the address



 Bool query allows us to compose smaller queries into bigger queries using Boolean logic

```
"query": { "bool":
    { "must": [
        { "match": { "address": "mill" } },
        { "match": { "address": "lane" } ] } }

    Return all accounts containing "mill" and "lane" in the address
"query": { "bool":
        { "should": [
        { "match": { "address": "mill" } },
        { "match": { "address": "lane" } ] } }

    Return all accounts containing "mill" or "lane" in the address
```



Query DSL – Bool Query

```
"query": { "bool": {
    "must_not": [
    { "match": { "address": "mill" } },
    { "match": { "address": "lane" } } ] } }
```

Return all accounts that contain neither "mill" nor "lane" in the address

"query": { "bool": {
 "must": [{ "match": { "age": "40" } }],
 "must_not": [{ "match": { "state": "ID" } }] } }

Return all accounts of anybody who is 40 years old but doesn't live in ID(aho):



- score field in the search results
 - □ Relative measure of how well the document matches the search query
 - The bigger, the more relevant
 - Practical scoring function evaluates it from 0 to max_score for the set
 - □ Idea: more relevant documents =
 - a) with a higher term frequency, and
 - b) contain more unique uses of the term compared to other documents in the index
 - □ When queries filter the set, it is not evaluated
 - Y/N depending on the filter

```
"query": {
   "bool": { "must": { "match_all": {} },
   "filter": {
        "range": { "balance": {
            "gte": 20000,
            "lte": 30000 } } } }
```

Return all accounts with balances between 20000 and 30000



Query DSL – Aggregations

- Ability to group and extract statistics
 - Like SQL GROUP BY
- We can execute searches returning both hits and aggregated results
 No round tripping

```
GET /bank/_search {
  "size": 0, // not show search hits
  "aggs": {
    "group_by_state": {
        "terms": { "field": "state.keyword" } } } }
```

 Group all the accounts by state, and returns the top 10 (default) states sorted by count descending (default)



Query DSL – Aggregations

```
GET /bank/_search {
   "size": 0,
   "aggs": {
      "group_by_state": {
        "terms": { "field": "state.keyword" },
        "aggs": {
            "average_balance": {
                "average_balance": {
                "avg": { "field": "balance" } } } } }
```

- Calculate the average account balance by state
 - Uses nested aggregations (average_balance in group_by_state)



Apache Lucene

- Used by Elasticsearch, Solr, …
- Released 1999
- Written in Java
- High-performance, text search engine library
- Support for
 - □ Ranked searching
 - □ A number of query types: phrase queries, wildcard queries, proximity queries, range queries, ...
 - □ Fielded searching
 - e.g. title, author, contents, ...
 - □ Sorting by any field
 - □ Multiple-index searching with merged results
 - □ Simultaneous update and searching
 - □ Flexible faceting, highlighting, joins and result grouping



Apache Lucene

Inverted index

- Document is the unit of search and index
 - Does not have to be real documents, but also, e.g., database tables
- Document consists of one or more fields
 - □ Name-value pair
- Searching requires an index to have already been built
- For searching it uses own language
 - □ Matching: keyword, wildcard, proximity, range searches, ...
 - □ Logical operators
 - Boosting of terms/clauses

□ ...

References

VoltDB Documentation <u>https://docs.voltdb.com/</u>

SciDB Reference Guide

https://paradigm4.atlassian.net/wiki/spaces/sci db/overview?homepageId=2694289094

Elastic Stack and Product Documentation

https://www.elastic.co/guide/index.html