

Probabilistic Acceptors for Languages over Infinite Words

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joint work with *Nathalie Bertrand*
and *Marcus Größer*

- languages over infinite words $L \subseteq \Sigma^\omega$
- arise from ω -regular expressions

$$\alpha_1 \beta_1^\omega + \dots + \alpha_n \beta_n^\omega$$

where α_i, β_i are regular expressions with $\varepsilon \notin \beta_i$

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- recognized by many types of ω -automata, e.g.,
NBA (nondeterministic Büchi automata)

syntax as **NFA**, but with acceptance criterion
“visit infinitely often a final state”

- languages over infinite words $L \subseteq \Sigma^\omega$
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$$\alpha_1 \beta_1^\omega + \dots + \alpha_n \beta_n^\omega$$

- recognized by many types of ω -automata, e.g., **NBA (nondeterministic Büchi automata)**
- can be used for verifying linear time properties

“check whether $\mathcal{L}(\mathcal{M}) \cap \mathcal{L}(\mathcal{A}) \neq \emptyset$ with graph algorithm in the product $\mathcal{M} \times \mathcal{A}$ ”

where \mathcal{M} is the **system model**,

\mathcal{A} is an **NBA for the bad behaviors**

NBA

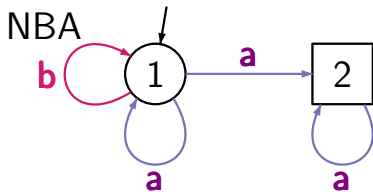
NBA

DBA

NBA

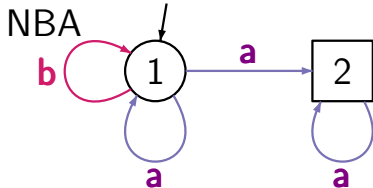
 $(a + b)^* a^\omega$

DBA



NBA $\Box \Diamond F$ **NSA/DSA** (Streett automata) $\bigwedge_i (\Box \Diamond H_i \rightarrow \Box \Diamond K_i)$ **NRA/DRA** (Rabin automata) $\bigvee_i (\Diamond \Box \neg K_i \wedge \Box \Diamond H_i)$

⋮

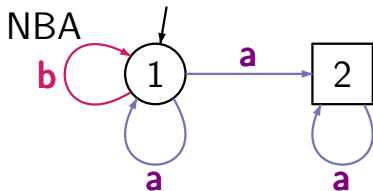
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NBA $\xrightarrow{\text{exp}}$ **DSA/DRA/...**
[Safrá '88]



NBA

 $\Box\Diamond F$

NSA/DSA (Streett automata)

 $\bigwedge_i (\Box\Diamond H_i \rightarrow \Box\Diamond K_i)$

NRA/DRA (Rabin automata)

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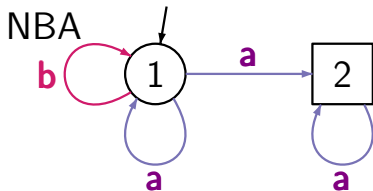
⋮

probabilistic
Büchi automata ?

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DBA

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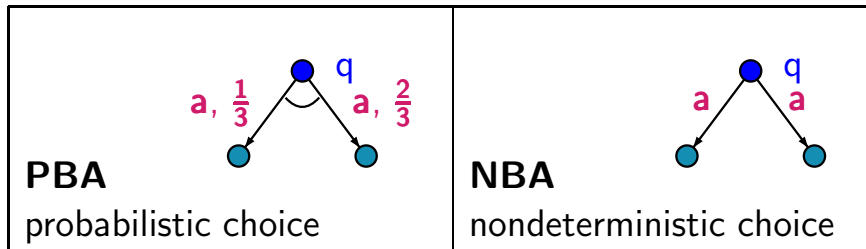


Overview

PBA-3

- definition of probabilistic Büchi automata (PBA)
- expressiveness of PBA
- efficiency of PBA
- other acceptance conditions
(Streett/Rabin, alternative semantics)
- composition operators on PBA
- decision problems for PBA

PBA: syntax as for **NBA**,
but all choices are resolved probabilistically



$\mathcal{P} = (\mathbf{Q}, \delta, \mu, \mathbf{F})$ over alphabet Σ :

- \mathbf{Q} finite state space
- transition probability function

$$\delta : \mathbf{Q} \times \Sigma \times \mathbf{Q} \rightarrow [0, 1]$$

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$\text{Pr}(\mathbf{x})$ = probability for the **accepting runs** for \mathbf{x}

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For $\mathbf{x} \in \Sigma^\omega$:

$\Pr(\mathbf{x})$ = probability for the **accepting runs** for \mathbf{x}

accepting run: visits \mathbf{F} infinitely often

$$\mathcal{P} = (\mathbf{Q}, \delta, \mu, \mathbf{F})$$



can be viewed as a
Markov decision process

- \mathbf{Q} finite state space
- transition probability function

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For $\mathbf{x} \in \Sigma^\omega$:

$\text{Pr}(\mathbf{x}) =$ **probability** for the accepting runs for \mathbf{x}
probability measure in the infinite **Markov chain**
induced by \mathbf{x} viewed as a **scheduler**

$$\mathcal{P} = (\mathbf{Q}, \delta, \mu, \mathbf{F})$$

← can be viewed as a
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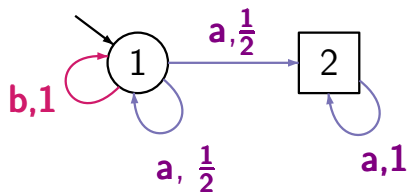
For $\mathbf{x} \in \Sigma^\omega$:

$\Pr(\mathbf{x})$ = probability for the accepting runs for \mathbf{x}

accepted language: $\mathcal{L}(\mathcal{P}) = \{\mathbf{x} \in \Sigma^\omega : \Pr(\mathbf{x}) > 0\}$

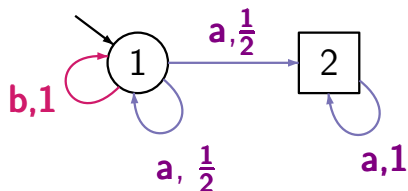
Example for a PBA

PBA-6



□ final state

○ non-final state



input word

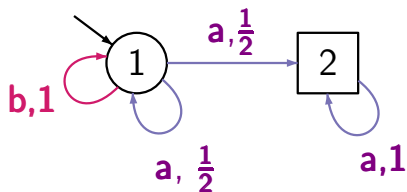
$$x = \mathbf{a} \mathbf{a} \mathbf{b} \mathbf{a}^\omega$$

□ final state

○ non-final state

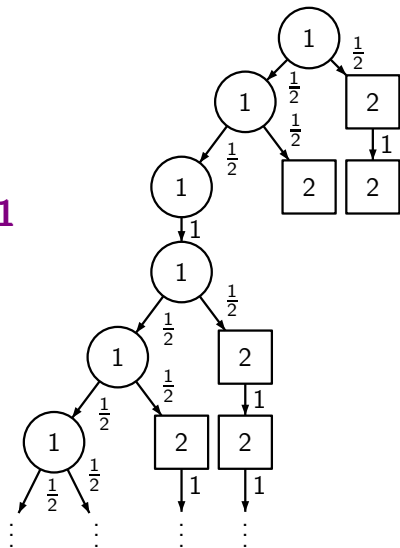
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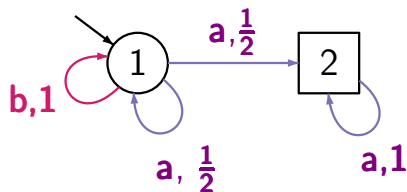
$$x = aaba^\omega$$



a
a
b
a
a
a
a
⋮

Example for a PBA

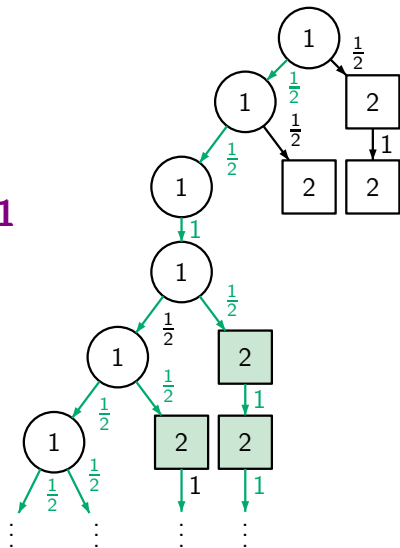
PBA-6



input word

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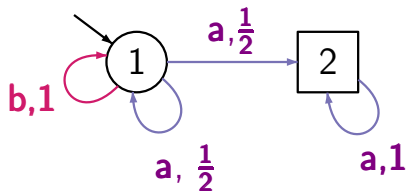
$$\begin{aligned} \Pr(x) &= \frac{1}{8} + \frac{1}{16} + \frac{1}{32} + \dots \\ &= \frac{1}{4} \end{aligned}$$



a
a
b
a
a
a
a
⋮

Example for a PBA

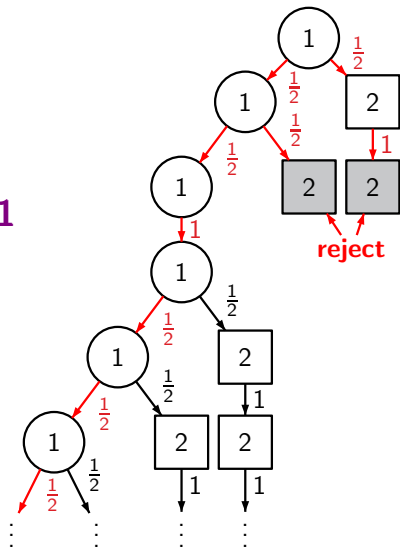
PBA-6



input word

$$x = aaba^\omega$$

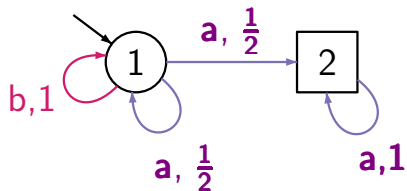
$$\begin{aligned} \Pr(x) &= \frac{1}{8} + \frac{1}{16} + \frac{1}{32} + \dots \\ &= 1 - \Pr(x \text{ is rejected}) \end{aligned}$$



a
a
b
a
a
a
⋮

Example for PBA

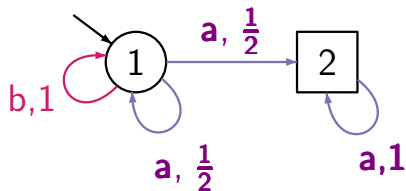
PBA-7



accepted language:
 $(a + b)^* a^\omega$

Example for PBA

PBA-7

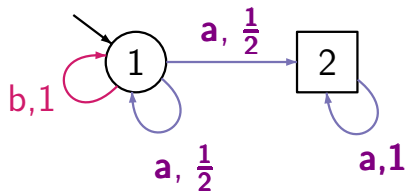


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Thus: **PBA** are strictly more expressive than **DBA**

Example for PBA

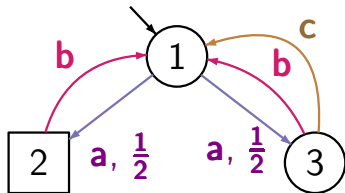
PBA-7



accepted language:

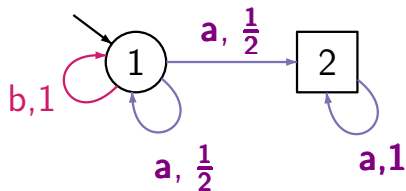
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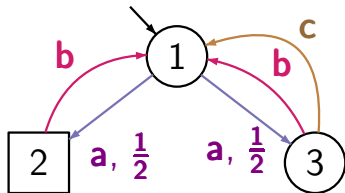
PBA-7



accepted language:

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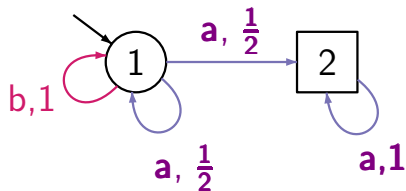
Thus: **PBA** are strictly more expressive than **DBA**



NBA accepts $((ac)^* ab)^\omega$

Example for PBA

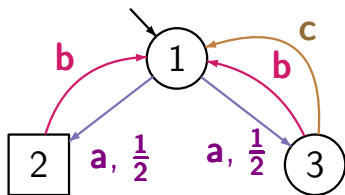
PBA-7



accepted language:

$$(a + b)^* a^\omega$$

Thus: **PBA** are strictly more expressive than **DBA**



accepted language:

$$(ab + ac)^* (ab)^\omega$$

but NBA accepts $((ac)^* ab)^\omega$

Theorem:

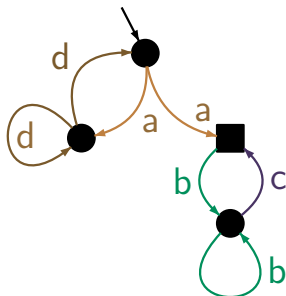
PBA are strictly more expressive than **NBA**

from NBA to PBA:

$$\text{NBA} \xrightarrow{\text{Courcoubetis/ Yannakakis '95}} \text{NBA deterministic in limit} = \text{PBA}$$

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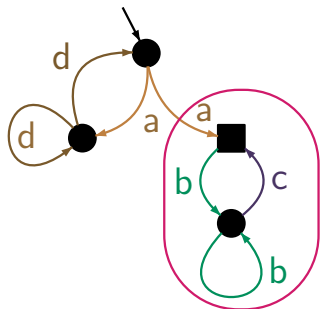
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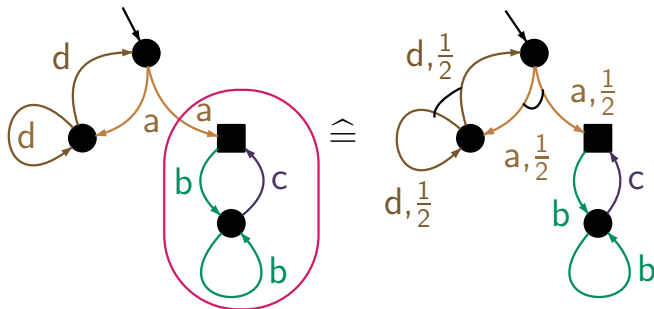


deterministic

PBA are strictly more expressive than NBA

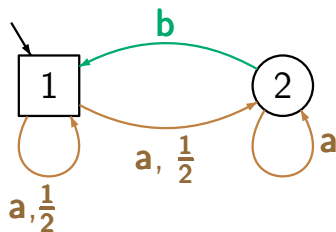
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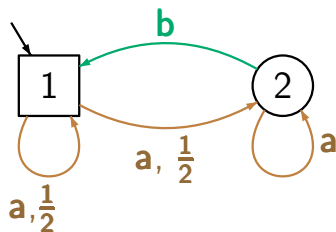


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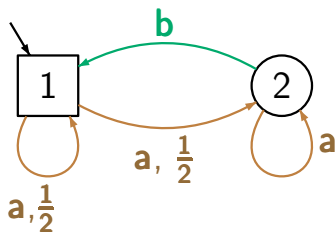
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accepted language:

$$\left\{ a^{k_1} b a^{k_2} b a^{k_3} b \dots : \right\}$$

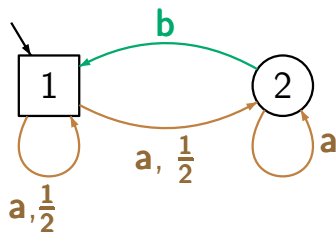
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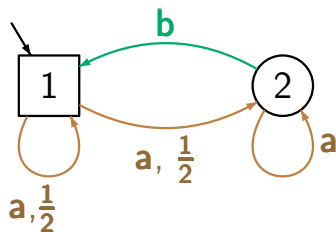
$$\left\{ a^{k_1} b a^{k_2} b a^{k_3} b \dots : \prod_{i=1}^{\infty} \left(1 - \left(\frac{1}{2} \right)^{k_i} \right) > 0 \right\}$$

- from NBA to PBA:
via NBA that are deterministic in limit
- PBA can accept non- ω -regular languages



- **uniform PBA** cover exactly the class of ω -regular languages

- from NBA to PBA:
via NBA that are deterministic in limit
- PBA can accept non- ω -regular languages



- uniform PBA** cover exactly the class of ω -regular languages where “uniformity” is a probabilistic condition on end components

Theorem:

There exist uniform PBA \mathcal{P}_n with $|\mathcal{P}_n| = \mathcal{O}(n)$ s.t. each equivalent NSA \mathcal{A}_n has $\Omega(2^n/n)$ states.

NSA: nondeterministic Streett automaton

Theorem:

There exist uniform PBA \mathcal{P}_n with $|\mathcal{P}_n| = \mathcal{O}(n)$ s.t. each equivalent NSA \mathcal{A}_n has $\Omega(2^n/n)$ states.

Proof: consider the ω -regular languages

$$L_n = \{ xy^\omega : x, y \in \{a, b\}^*, |y| = n \}$$

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show that

1. Each any NSA for L_n has $\geq 2^n/n$ states.
2. There is a uniform PBA with $\mathcal{O}(n)$ states.

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Each any NSA for L_n has $\geq 2^n/n$ states

as the “accepting loops” for $y_1 \neq y_2$, $|y_1| = |y_2| = n$ are disjoint

where for $y_1 = c_1c_2 \dots c_n$ and $y_2 = d_1d_2 \dots d_n$:

$$y_1 \equiv y_2 \text{ iff } \exists i \text{ s.t. } c_1c_2 \dots c_n = d_i \dots d_nd_1 \dots d_{i-1}$$

Thm: There exist uniform PBA \mathcal{P}_n with $|\mathcal{P}_n| = \mathcal{O}(n)$
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✓

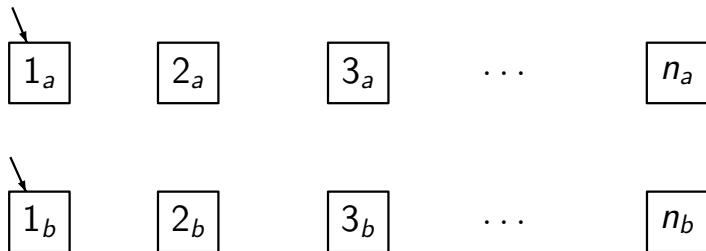
2. there exists a uniform PBA with $2n$ states

Thm: There exist uniform PBA \mathcal{P}_n with $|\mathcal{P}_n| = \mathcal{O}(n)$
s.t. each equivalent NSA \mathcal{A}_n has $\Omega(2^n/n)$ states.

Proof: consider the ω -regular languages

$$L_n = \{xy^w : x, y \in \{a, b\}^*, |y| = n\}$$

PBA for L_n mit $2n$ states:

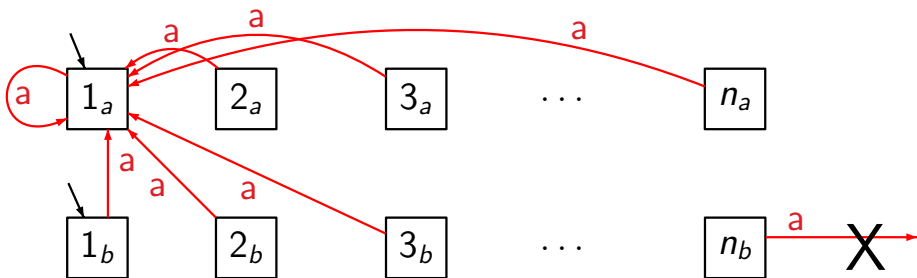


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Proof: consider the ω -regular languages

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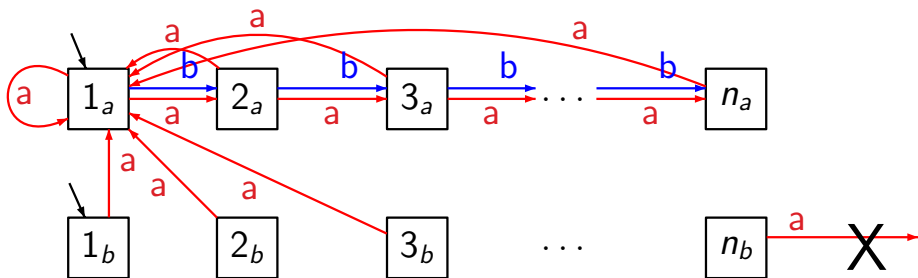


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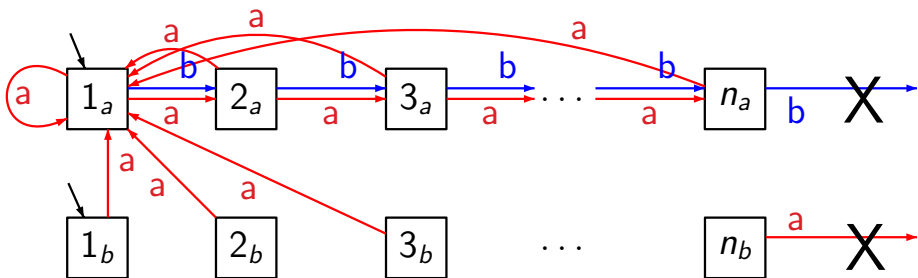


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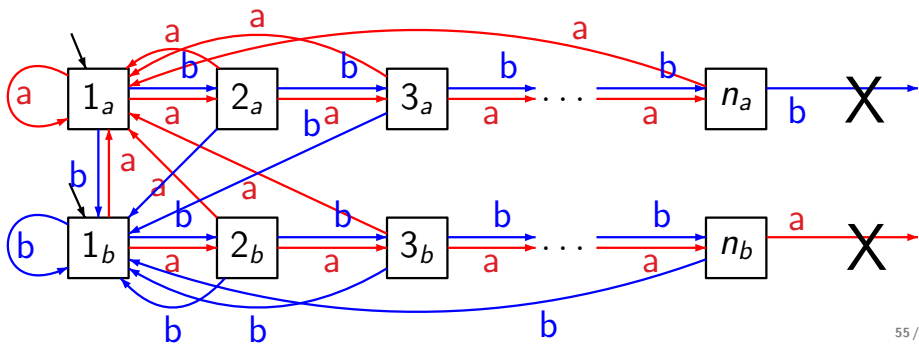


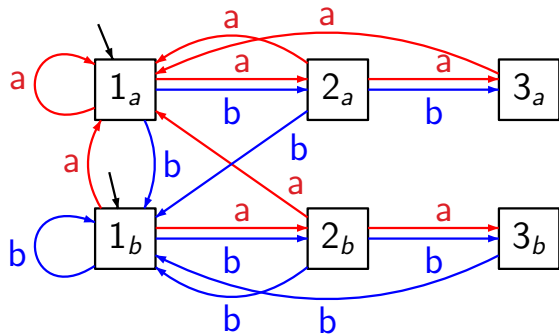
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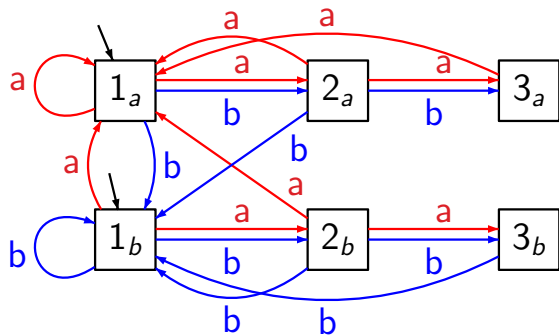
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PBA for L_n mit $2n$ states:





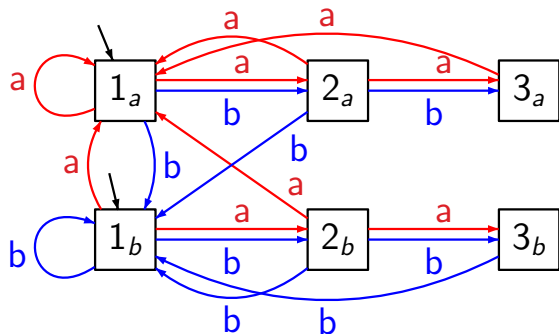
uniform
distributions



uniform
distributions

1. Let $z = c_1c_2\dots \in \{a, b\}^\omega$ s.t.

$$\exists^\infty i \text{ with } c_i = a \text{ and } c_{i+3} = b$$

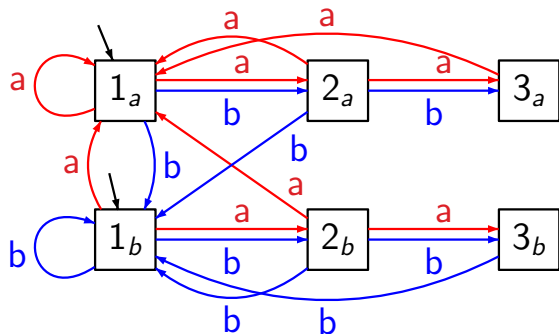


uniform
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with prob. 1: $\exists i$ s.t. $\xrightarrow{c_1\dots c_{i-1}a} 1_a \xrightarrow{c_{i+1}} 2_a \xrightarrow{c_{i+3}} 3_a \not\rightarrow$



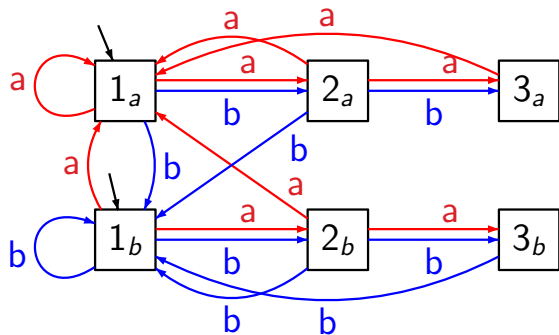
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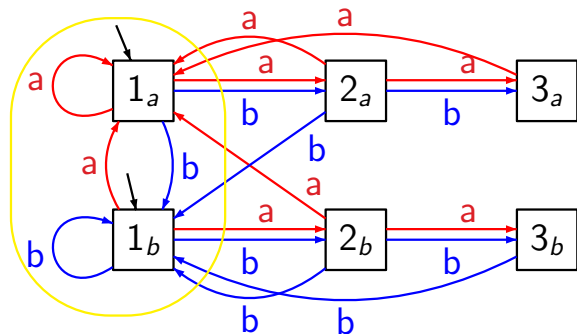
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i.e. z will be rejected almost surely



uniform
distributions

2. Let $z = xy^\omega \in L_3$ where $x = c_1 \dots c_i$.

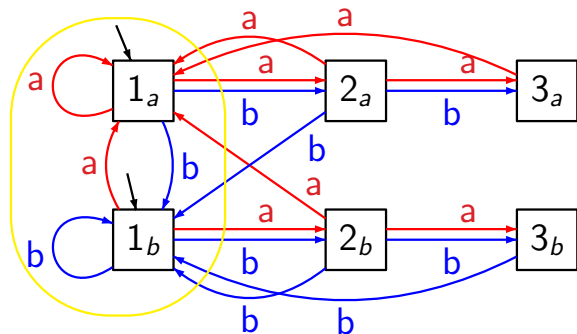


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All runs for z that start with the prefix

$1_a \xrightarrow{c_1} 1_{c_1} \xrightarrow{c_2} \dots \xrightarrow{c_i} 1_{c_i}$ are infinite

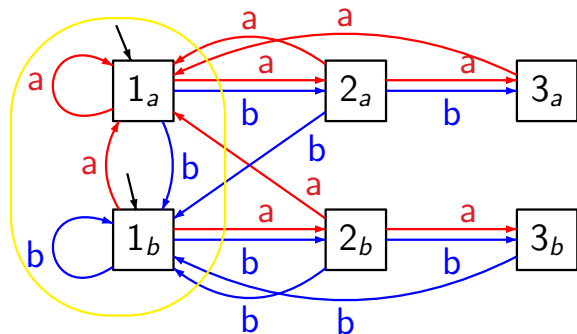


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Hence: $\Pr(z) \geq (\frac{1}{2})^i > 0$

Thm: There exist uniform PBA with of size $\mathcal{O}(n)$ s.t. each equivalent NSA has $\Omega(2^n/n)$ states

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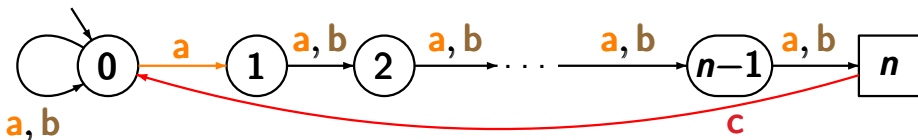
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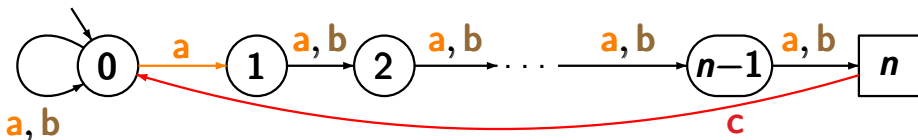
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NBA:



PBA: has to “remember” all a 's of the last n symbols

accepted language:

$$\mathcal{L}(\mathcal{P}) = \{ \mathbf{x} \in \Sigma^\omega : \Pr_{\mathcal{P}}(\text{accepting runs for } \mathbf{x}) \} > 0 \}$$

acceptance conditions:

- Büchi: $\Box \Diamond F$
- Rabin: $\bigvee_{1 \leq i \leq k} (\Box \Diamond H_i \wedge \Diamond \Box \neg K_i)$
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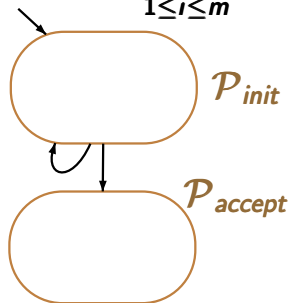
PRA	$\xrightarrow{\text{poly}}$	PBA	as for nondeterministic automata
PSA	$\xrightarrow{\text{exp}}$	PBA	preserving uniformity
PSA	$\xrightarrow{\text{poly}}$	PBA	possibly non-uniform

PSA \mathcal{P} with acc. condition $\bigwedge_{1 \leq i \leq m} (\Box \Diamond H_i \rightarrow \Box \Diamond K_i)$

PBA consists of several copies of \mathcal{P}

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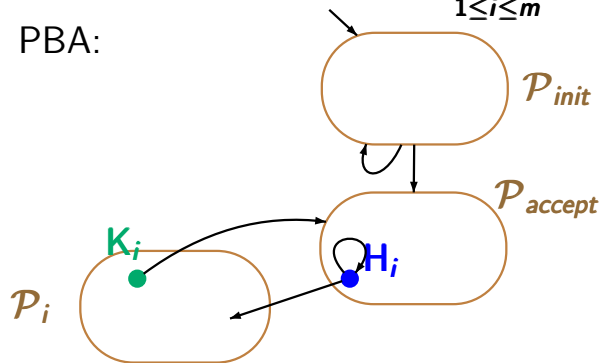


Poly transformation from PSA to PBA

PBA-18

PSA \mathcal{P} with acc. condition $\bigwedge_{1 \leq i \leq m} (\Box \Diamond H_i \rightarrow \Box \Diamond K_i)$

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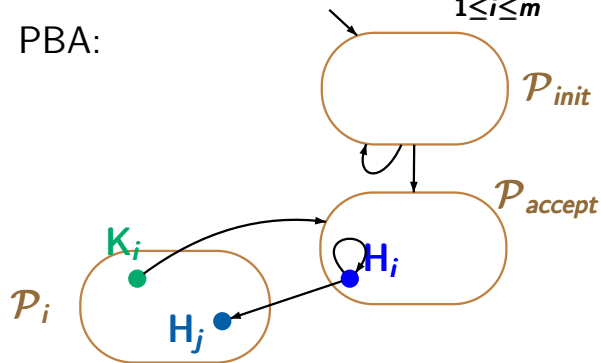


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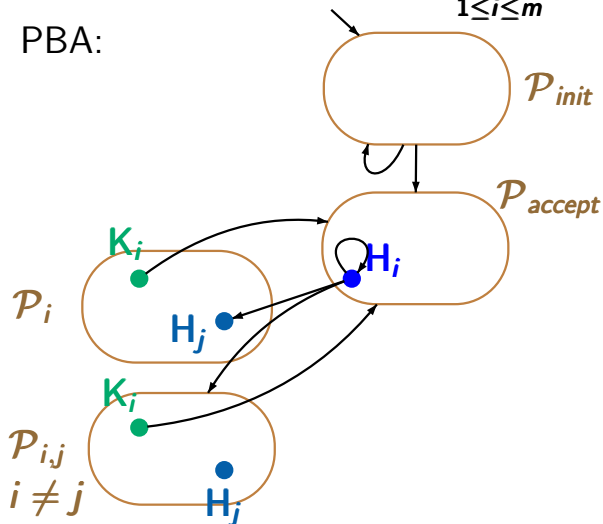


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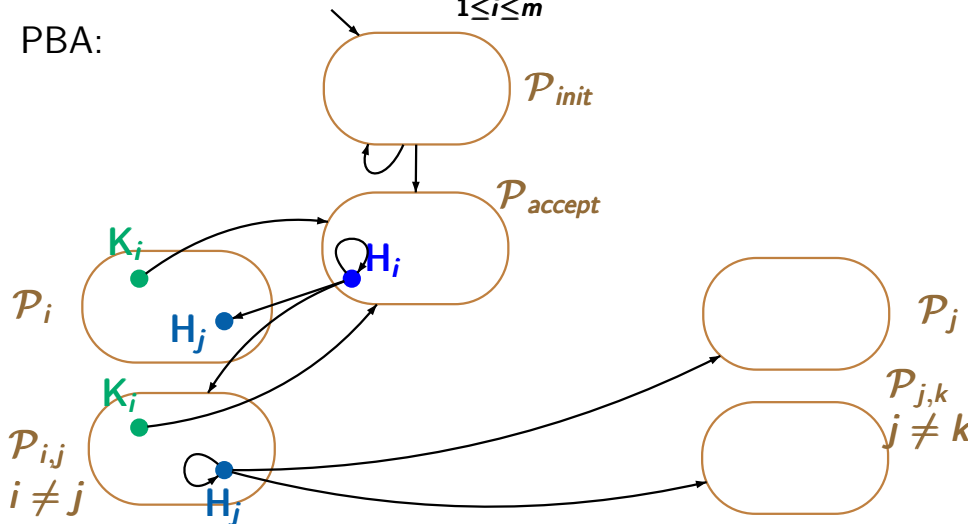


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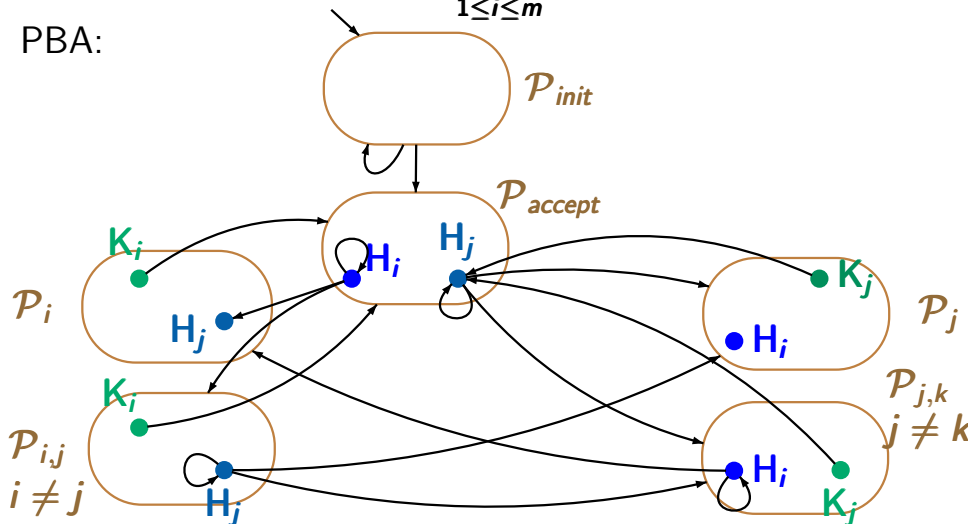


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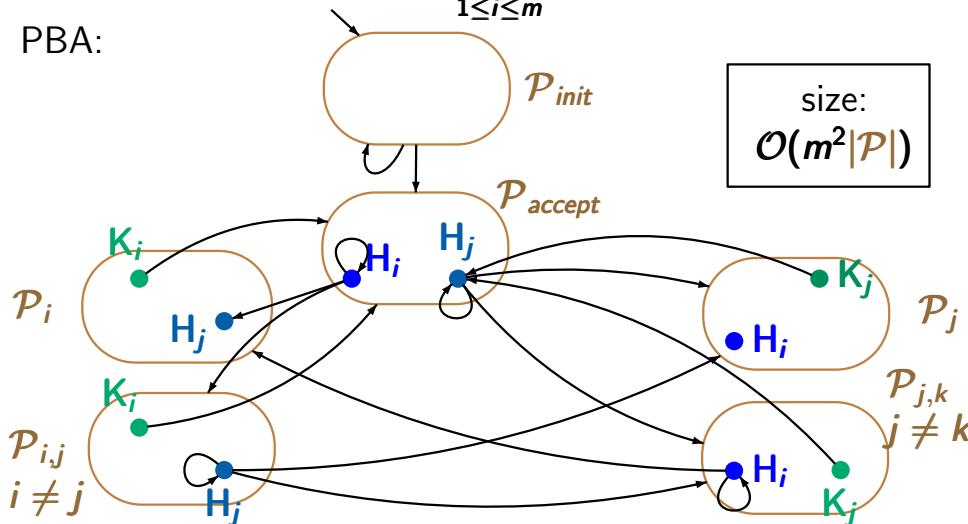


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PBA:



standard semantics:

$$\mathcal{L}(\mathcal{P}) = \{x \in \Sigma^\omega : \Pr_{\mathcal{P}}(x) > 0\}$$

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alternative semantics:

- threshold semantics
- almost-sure semantics

for PBA \mathcal{P} and $\lambda \in]0, 1[$

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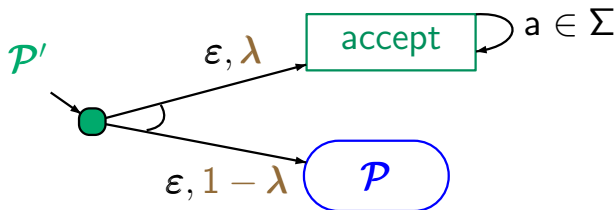
- \forall PBA $\mathcal{P} \forall \lambda \in]0, 1[\exists$ PBA \mathcal{P}' s.t. $\mathcal{L}^{>\lambda}(\mathcal{P}') = \mathcal{L}(\mathcal{P})$

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\mathcal{P}' is uniform if \mathcal{P} is uniform

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- \exists PBA $\mathcal{P} \exists \lambda \in]0, 1[$ s.t. $\mathcal{L}^{>\lambda}(\mathcal{P})$ cannot be recognized by a standard PBA
- \exists uniform PBA $\mathcal{P} \exists \lambda \in]0, 1[$ s.t. $\mathcal{L}^{>\lambda}(\mathcal{P})$ is not ω -regular

$$\mathcal{L}^1(\mathcal{P}) = \{x \in \Sigma^\omega : \Pr(x) = 1\}$$

$$\mathcal{L}^{\text{=1}}(\mathcal{P}) = \{x \in \Sigma^\omega : \Pr(x) = 1\}$$

Theorem: For each PBA \mathcal{P} there exists a PBA \mathcal{P}' such that $\mathcal{L}^{\text{=1}}(\mathcal{P}) = \mathcal{L}(\mathcal{P}')$.

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Proof sketch. PBA \mathcal{P}' for input word x

- simulates \mathcal{P} with input x
- guesses at random a word position i
- checks whether $\Box \neg F$ holds with positive probability from position i
- if so, \mathcal{P}' rejects; otherwise \mathcal{P}' accepts.

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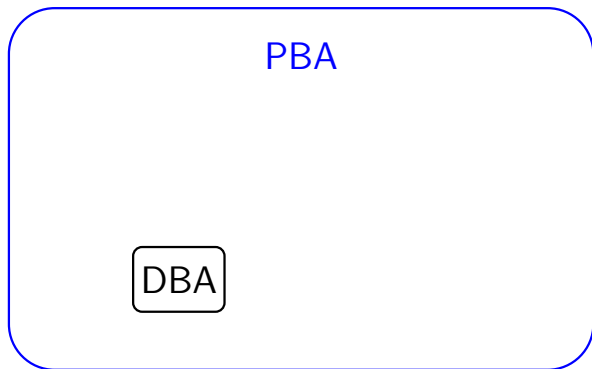
Theorem: There exists a PBA \mathcal{P} such that $\mathcal{L}(\mathcal{P})$ is *not* recognizable by a PBA with the **almost-sure semantics**.

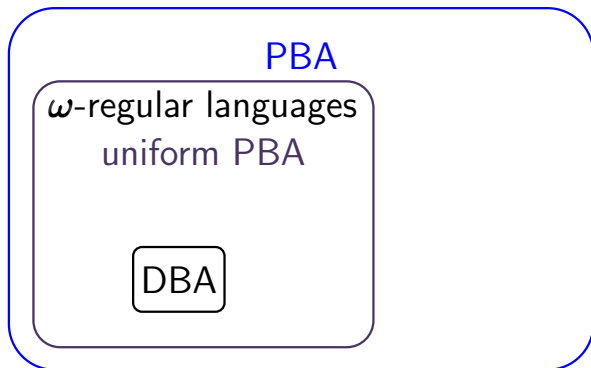
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example: PBA for the ω -regular language $(a + b)^* a^\omega$



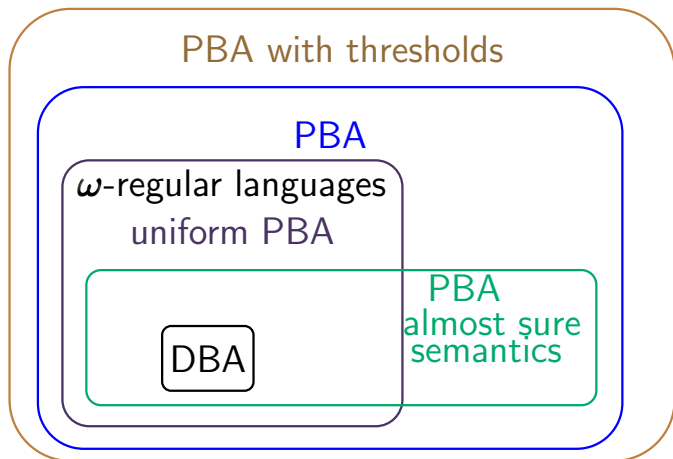


PBA with thresholds

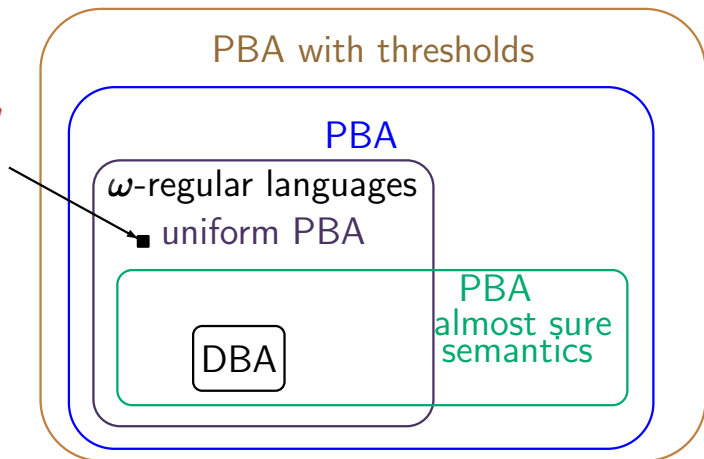
PBA

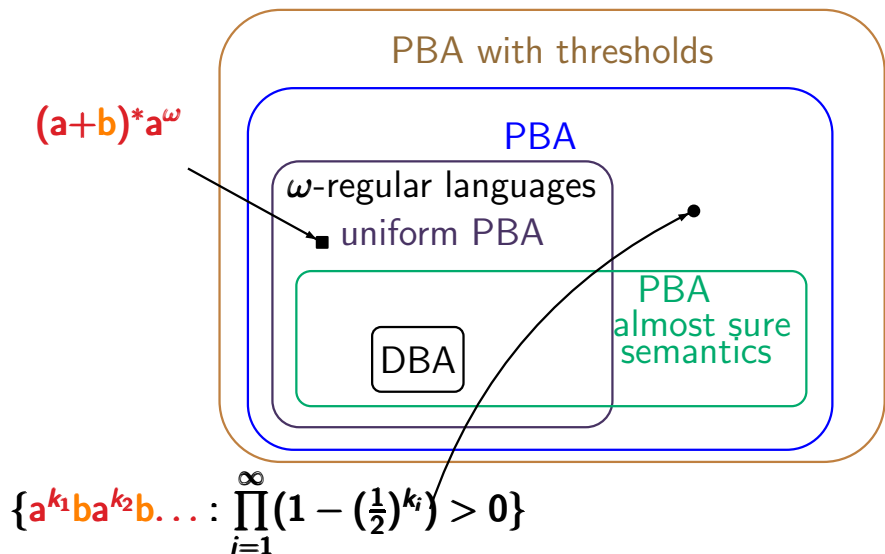
ω -regular languages
uniform PBA

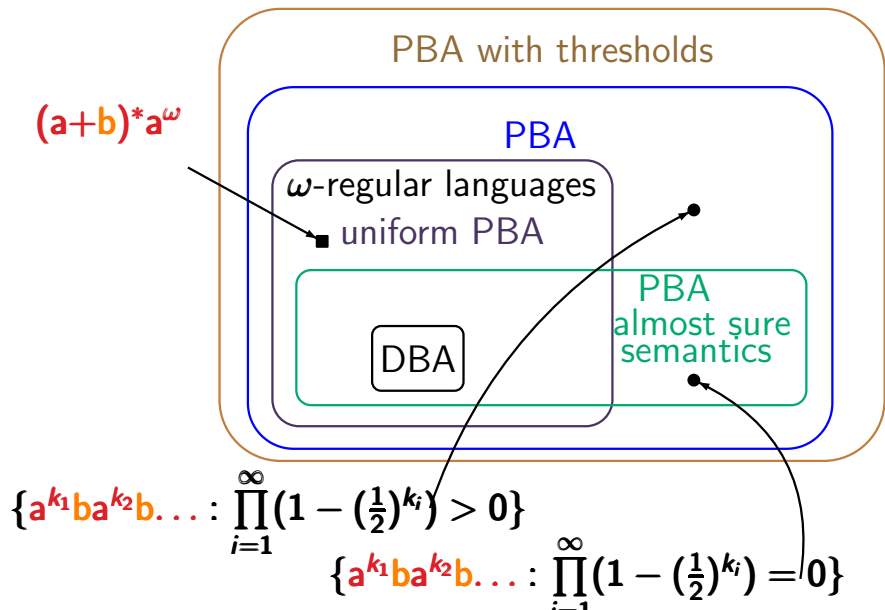
DBA

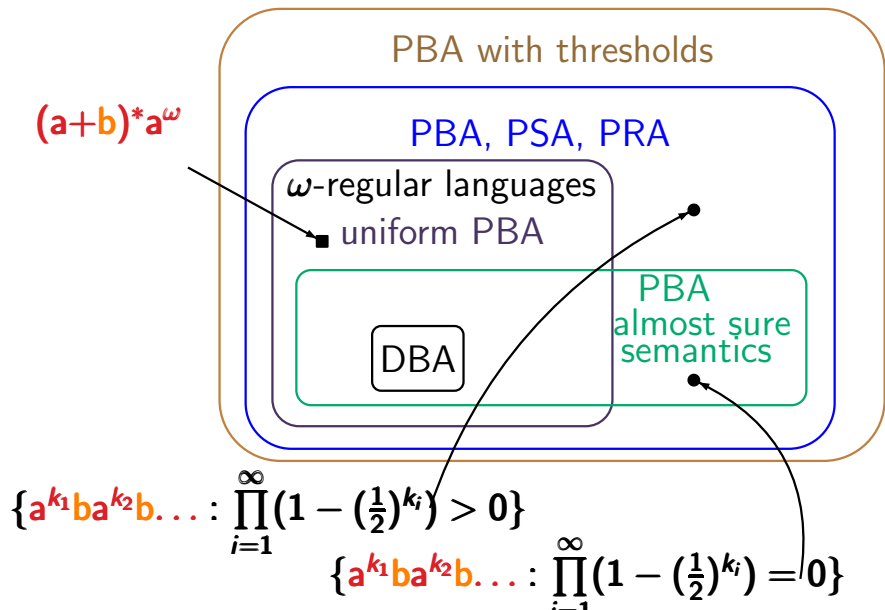


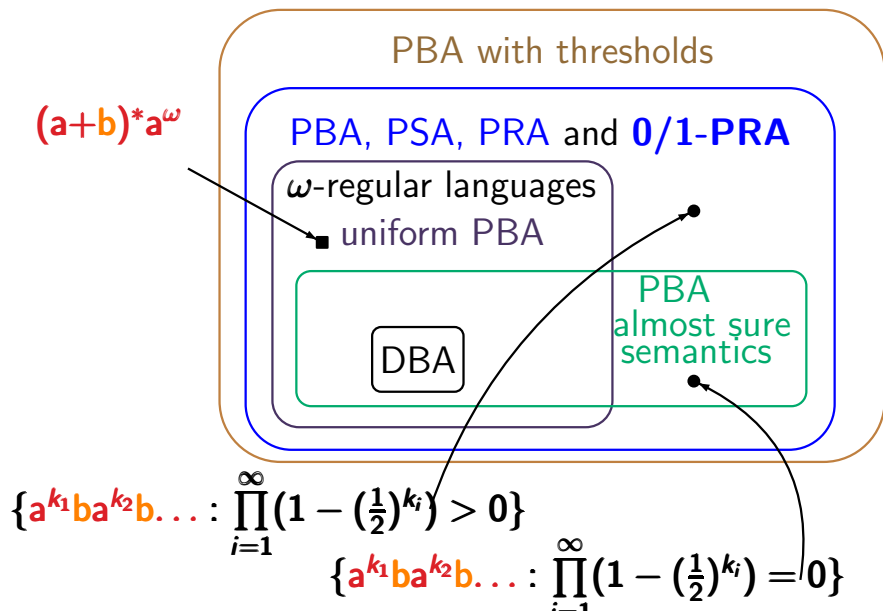
PBA with thresholds

 $(a+b)^*a^\omega$ 









0/1-PRA: probabilistic Rabin automaton \mathcal{P} s.t.

$$\forall x \in \Sigma^\omega : \Pr_{\mathcal{P}}(x) \in \{0, 1\}$$

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Corollary: The almost-sure semantics for PRA is as powerful as the standard semantics.

The same holds for PSA, but not for PBA.

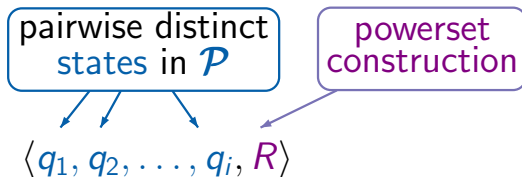
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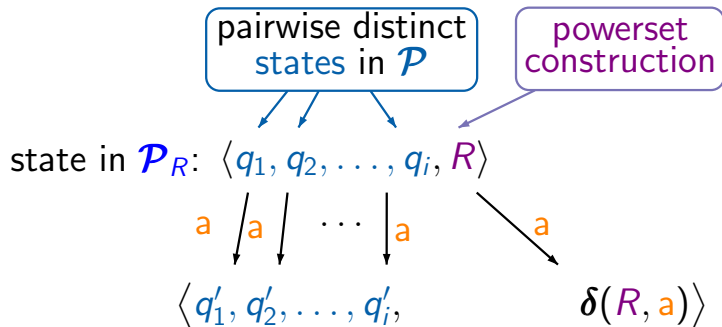
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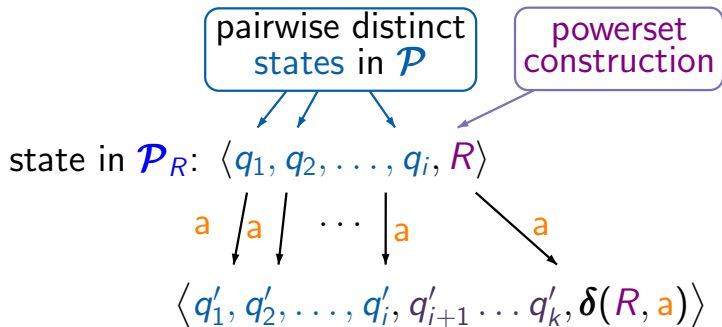
idea: 0/1-PRA \mathcal{P}_R

- generates up to $n = |Q|$ **sample runs** of \mathcal{P}
(as representatives for all runs in \mathcal{P})
- and checks whether at least one of them is **accepting**





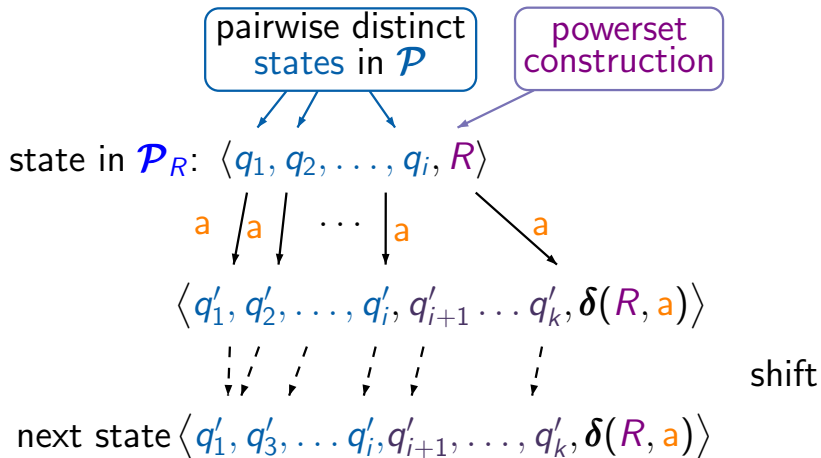
δ = transition function in \mathcal{P}



where $\{q'_{i+1}, \dots, q'_k\} = \mathbf{F} \cap \delta(R, a) \setminus \{q'_1, \dots, q'_i\}$

\mathbf{F} = set of accept states in \mathcal{P}

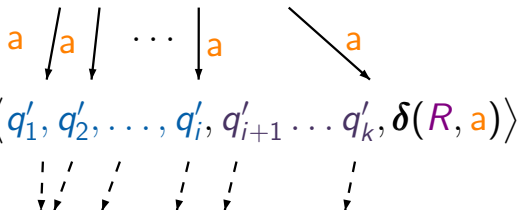
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pairwise distinct
states in \mathcal{P}

powerset
construction

state in \mathcal{P}_R : $\langle q_1, q_2, \dots, q_i, R \rangle$



shift

next state $\langle q'_1, q'_3, \dots, q'_i, q'_{i+1}, \dots, q'_k, \delta(R, a) \rangle$

acceptance condition:

$$\bigvee_j (\diamond \square \text{"no shift in component } j" \wedge \square \diamond \mathbf{F})$$

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PBA

\mathcal{P}

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PBA \longrightarrow 0/1-PRA

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\mathcal{P}_R

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$$\begin{array}{ccccc} \text{PBA} & \longrightarrow & \text{0/1-PRA} & \xrightarrow{\text{compl.}} & \text{0/1-PSA} \\ \mathcal{P} & & \mathcal{P}_R & & \end{array}$$

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Theorem: The class of PBA-recognizable languages is closed under complementation.



Theorem: The class of PBA-recognizable languages is closed under complementation, union, intersection.

complementation:

$$\text{PBA} \xrightarrow{\text{exp}} \text{0/1-PRA} \xrightarrow{\text{compl.}} \text{0/1-PSA} \xrightarrow{\text{poly}} \text{PBA}$$

union and intersection:

- **union:** random choice between two PBA
- **intersection:** via generalized PBA (as for NBA)

The **emptiness problem** for PBA

given: PBA \mathcal{P}

question: does $\mathcal{L}(\mathcal{P}) = \emptyset$ hold?

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proof by a reduction from the **emptiness problem** for **probabilistic finite automata (PFA)**

[Paz'71, Madani/Hanks/Condon'03]

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Hence, the following problems are undecidable too:

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- verification of observation-based stochastic games formalized by **partially-observable MDPs (POMDPs)** against ω -regular specifications:

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Corollary: The **emptiness problem** for **PBA** with the **almost-sure semantics** is **decidable**.

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given: finite Markov chain \mathcal{M} , uniform PBA \mathcal{P}

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analysis of the SCCs in the product Markov chain

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- **application:** run-time verification (probabilistic monitoring) [Sistla et al]

- expressiveness ...
- efficiency
- polynomial transformation $\text{PSA} \rightsquigarrow \text{PBA}$
- (un)decidability results for PBA and POMDPs
- application: run-time verification [Sistla et al]

many open problems:

- transformations **LTL** \rightsquigarrow **PBA** or **MSO** \rightsquigarrow **PBA**
- alternative semantics for **PBA**
- variants: **NPBA**, **QBA**, ...