

On Stateless Restarting Automata

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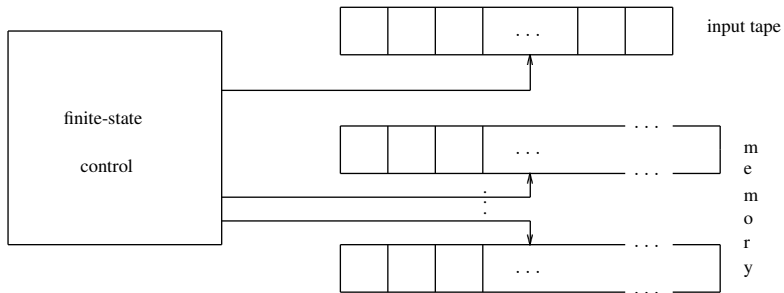
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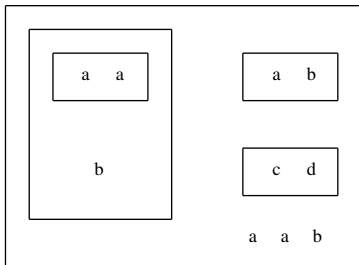
1. Introduction

Traditional automata:



Unconventional computing models:

P-systems:



membranes

DNA computing: multiset of DNA-strands

No global state.

Study traditional models of automata **without** states:

- How do other additional resources (heads, memory) given to finite automata relate to the absence or presence of states?
- For which computational models are states really necessary?

A **stateless finite-state automaton** with input alphabet Σ accepts Σ_0^* for a subset Σ_0 of Σ .

A language is accepted by a **stateless pushdown automaton** iff it is context-free.

A language is accepted by a **stateless dpda** iff it is a simple language [Har78].

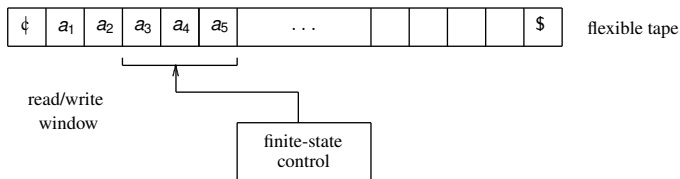
Stateless multihead finite automata and **stateless multicounter systems** have been investigated by O. Ibarra and his co-authors [IKO2007, YDI2007].

Theorem 1

$\forall k \geq 1 \exists$ finite language $L \subseteq \{a\}^*$: L is not accepted by any stateless two-way nondeterministic finite automaton with k heads.

Here: **stateless restarting automata**

2. Restarting Automata



A restarting automaton

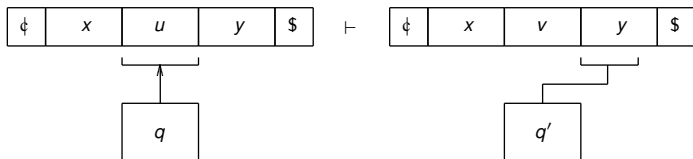
An **RRWW-automaton** is defined as $M = (Q, \Sigma, \Gamma, \phi, \$, q_0, k, \delta)$, where

- Q is a finite set of states,
- Σ is a finite input alphabet,
- Γ is a finite tape alphabet, $\Gamma \supseteq \Sigma$,
- $\phi, \$ \notin \Gamma$ are the delimiters for the tape,
- $q_0 \in Q$ is the initial state,
- $k \in \mathbb{N}_+$ is the size of the read/write window,
- δ is the transition relation:

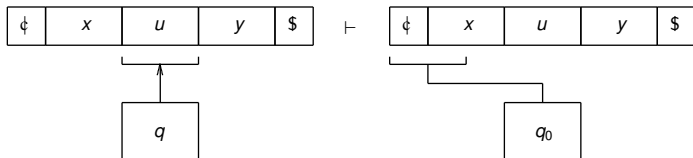
$$\delta : \phi \cdot \Gamma^{k-1} \cup \Gamma^k \cup \Gamma^{\leq k-1} \cdot \$ \cup \phi \cdot \Gamma^{\leq k-2} \cdot \$ \rightarrow P_{fin}(Ops).$$

An RRWW-automaton can execute the following operations:

- A **move-right step** $(q', \text{MVR}) \in \delta(q, u)$
- A **rewrite step** $(q', v) \in \delta(q, u)$, where $|v| < |u|$:



- A **restart step**:



- An **accept step** $\text{Accept} \in \delta(q, u)$

In each computation rewrite and restart steps alternate.

M works in **cycles**:

$q_0 \updownarrow xuyz\$$	\vdash^*	$\updownarrow \updownarrow xquyz\$$	\vdash	$\updownarrow \updownarrow xvq_1 yz\$$	\vdash^*	$\updownarrow \updownarrow xvyq_2 z\$$	\vdash	$q_0 \updownarrow xvyz\$$
restarting								restarting
configuration								configuration

Notation: $xuyz \vdash_M^C xvyz$.

Input configuration for $w \in \Sigma^*$: $q_0 \updownarrow w \$$

$L(M) = \{ w \in \Sigma^* \mid M \text{ accepts on input } w \}$ is the **input language** of M ,

$L_C(M) = \{ x \in \Gamma^* \mid M \text{ accepts starting from } q_0 \updownarrow x \$ \}$

is the **characteristic language** of M ,

M is **deterministic**, if δ is a function.

M is **monotone**, if in any computation of M , the distance of the place of rewriting to the right delimiter $\$$ does not increase from one cycle to the next.

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M is an **RWW-automaton**, if each rewrite step is combined with a restart step.

M is an **R(R)W-automaton**, if $\Sigma = \Gamma$ (i.e., no auxiliary symbols), and

M is an **R(R)-automaton**, if v is a (scattered) subword of u
for all $(q', v) \in \delta(q, u)$.

Theorem 2 (Jančar, Mráz, Plátek, Vogel et al.)

$$(a) \mathcal{L}(\text{det-mon-R(R)}) = \mathcal{L}(\text{det-mon-R(R)WW}) = \text{DCFL}$$

$$(b) \mathcal{L}(\text{mon-R(R)WW}) = \text{CFL}$$

$$(c) \mathcal{L}(\text{det-R(R)WW}) = \text{CRL} \subsetneq \text{GCSL} \subsetneq \mathcal{L}(\text{RWW}) \subseteq \mathcal{L}(\text{RRWW})$$

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3. Stateless RWW-Automata

An RWW-autom. $M = (Q, \Sigma, \Gamma, \phi, \$, q_0, k, \delta)$ is **stateless** if $Q = \{q_0\}$.

Notation: $M = (\Sigma, \Gamma, \phi, \$, k, \delta)$.

Example

$\Sigma = \{a, b\}$, δ defined as follows:

$$\begin{array}{llll} \delta(\phi \$) & = & \text{Accept}, & \delta(\phi aaa) & = & \text{MVR}, & \delta(aaaa) & = & \text{MVR}, \\ \delta(\phi ab \$) & = & \text{Accept}, & \delta(\phi aab) & = & \text{MVR}, & \delta(aaab) & = & \text{MVR}, \\ & & & & & & \delta(aabb) & = & ab. \end{array}$$

M is a stateless R-automaton, it is deterministic and monotone, and $L(M) = \{ a^n b^n \mid n \geq 0 \}$.

Proposition 3

Given a stateless RWW-automaton $M = (\Sigma, \Gamma, \phi, \$, k, \delta)$, a stateless RWW-automaton $M' = (\Sigma, \Gamma, \phi, \$, k + 1, \delta')$ can be constructed such that the following properties hold:

- (1) *M' executes accept instructions only at the right end of the tape,*
- (2) *$L_C(M') = L_C(M)$,*
- (3) *if all rewrite instructions of M are deletions, then this also holds for M' , and*
- (4) *if M is deterministic, then so is M' .*

Proposition 4

$\text{REG} \subsetneq \mathcal{L}(\text{stl-det-mon-R})$

$$L_d = \{ ca^n b^n \mid n \geq 0 \} \cup \{ da^n b^{2n} \mid n \geq 0 \}$$

L_d is a **deterministic linear language**, that is, it is accepted by a deterministic one-turn PDA.

Lemma 5

L_d is not accepted by any stateless RW-automaton.

Proposition 4

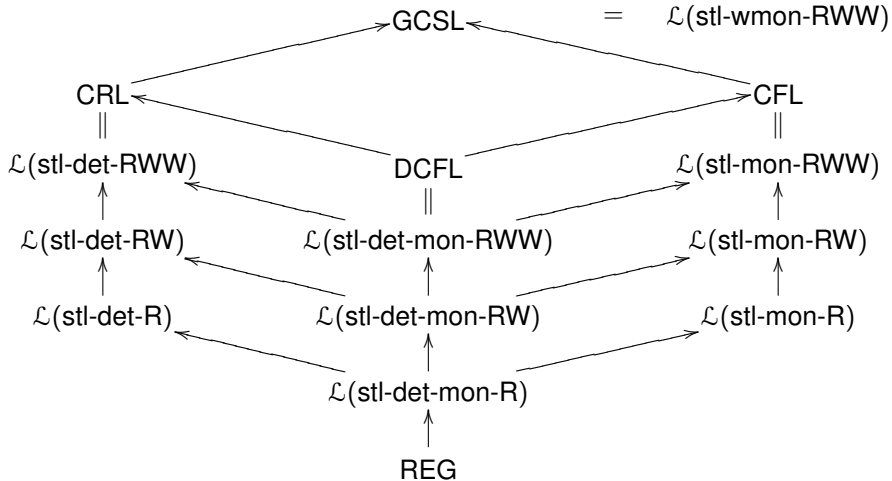
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4. Stateless RRWW-Automata

Let $M = (\Sigma, \Gamma, \phi, \$, k, \delta)$ be a stateless RRWW-automaton.
 Any attempt to perform a **second rewrite step** within a cycle is interpreted as a **reject step**.

Lemma 6

- (a) $\{a^n b^n \mid n \geq 0\} \in \mathcal{L}(\text{stl-det-RR})$.
 (b) $L_{aba} = \{a^m b^{m+n} a^n \mid m, n \geq 0\} \notin \mathcal{L}(\text{stl-det-RRW})$.

Proof idea for (b):

$$a^m b^m \in L_{aba} : \delta(a^\ell b^{k-\ell}) = a^{\ell-i} b^{k-\ell-i}$$

$$b^n a^n \in L_{aba} : \delta(b^{\ell'} a^{k-\ell'}) = b^{\ell'-i'} a^{k-\ell'-i'}$$

$$a^m b^{m+n} a^n \in L_{aba} : \underline{a^m b^{m+n}} a^n \vdash a^{m-i} b^{m-i+n} a^n \vdash^*$$

$$a^{m-i} b^{m-i} \underline{b^n a^n} \vdash a^{m-i} b^{m-i} b^{n-i'} a^{n-i'} : \text{Reject.} \quad \square$$

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Corollary 7

$$\text{REG} \subsetneq \mathcal{L}(\text{stl-det-RR})$$

Proof outline:

Let $L \in \text{REG}(\Sigma)$, and let $A = (Q, \Sigma, q_0, F, \varphi)$ be a complete DFA for L . If $m = |Q|$, then each word $w \in \Sigma^m$ can be written as $w = w_1 w_2 w_3$ such that $|w_2| \geq 1$ and $\varphi(q_0, w_1 w_2) = \varphi(q_0, w_1)$.

Hence, for all $z \in \Sigma^*$, $wz \in L$ iff $w_1 w_3 z \in L$.

Define a stl-det-RR-automaton M on Σ with window size $k = m + 1$:

- (1) $\delta(\$ w \$) = \text{Accept}$ for all $w \in \Sigma^{<m} \cap L$,
- (2) $\delta(\$ w) = \$ w_1 w_3$ for all $w \in \Sigma^m$, where $w = w_1 w_2 w_3$ is the chosen factorization of w ,
- (3) $\delta(w) = \text{MVR}$ for all $w \in \Sigma^{m+1}$,
- (4) $\delta(w \$) = \text{RESTART}$ for all $w \in \Sigma^{<m}$.

Then M is monotone, and $L(M) = L$. □

Theorem 8

$\mathcal{L}(\text{stl-det-mon-RRWW}) = \text{DCFL}$ and $\mathcal{L}(\text{stl-mon-RRWW}) = \text{CFL}$.

Proof outline:

- (a) Let $L \subseteq \Sigma^+$ be context-free. Then $L = L(P)$, where $P = (Q, \Sigma, \Gamma, \delta, q_0, z_0)$ is a PDA without λ -transitions that accepts by empty pushdown.
- (b) Here we can assume that L is accepted by a DPDA P for which each λ -transition simply pops a symbol from the pushdown [Autebert, Berstel, Boasson 97]. □

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5. Stateless Two-Phase RRWW-Automata

A **stateless two-phase RRWW-automaton** (stl-2-RRWW) is described by a 7-tuple $M = (\Sigma, \Gamma, \phi, \$, k, \delta_1, \delta_2)$:

δ_1 : transition relation for the first phase of a cycle,

δ_2 : transition relation for the second phase of a cycle.

Lemma 10

$$(a) \quad \mathcal{L}(\text{stl}(\text{det})\text{-R(W)(W)}) \subseteq \mathcal{L}(\text{stl}(\text{det})\text{-2-RR(W)(W)})$$

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Theorem 11

- (a) $\mathcal{L}(\text{stl-det-mon-2-RRWW}) = \text{DCFL}$
- (b) $\mathcal{L}(\text{stl-mon-2-RRWW}) = \text{CFL}$
- (c) $\mathcal{L}(\text{stl-det-2-RRWW}) = \text{CRL}$

$$L_d = \{ ca^n b^n \mid n \geq 0 \} \cup \{ da^n b^{2n} \mid n \geq 0 \} \notin \mathcal{L}(\text{stl-2-RRW})$$

Corollary 12

$$\mathcal{L}(\text{stl-(det)-2-RR(W)}) \subsetneq \mathcal{L}(\text{(det)-RR(W)})$$

$$L_{\text{expo}}^{(1)} = \{ a^{2^n} \mid n \geq 0 \} \cup \{ a^i b a^j \mid i, j \geq 0, \exists m \geq 1 : i + 2 \cdot j = 2^m \}$$

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Lemma 14

$$L_{\text{expo}}^{(1)} \notin \mathcal{L}(\text{stl-RW}) \cup \mathcal{L}(\text{stl-det-RRW})$$

Proof idea:

Let M be a stl-RW-automaton for $L_{\text{expo}}^{(1)}$:

If M accepts ba^{2^n} in a tail, then it also accepts $ba^{2^n+1} \notin L_{\text{expo}}^{(1)}$.

If $ba^{2^n} \vdash_M^c w$, then $w = a^{2^n}$, and $ba^{2^n} ba^{2^n-1} \vdash_M^c a^{2^n} ba^{2^n-1} \in L_{\text{expo}}^{(1)}$.

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This contradicts the determinism of M . □

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Lemma 13 and Lemma 14 imply the following.

Corollary 15

$$(a) \quad \mathcal{L}(\text{stl-det-RW}) \subsetneq \mathcal{L}(\text{stl-det-2-RRW})$$

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Lemma 17

$\overline{L}_{\text{expo}}^{(1)} := \{a, b\}^* \setminus L_{\text{expo}}^{(1)}$ is not accepted by any stateless deterministic 2-RRW-automaton that *executes accept instructions only at the right end of the tape*.

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6. Concluding Remarks

The following (non-) closure properties have been obtained for the language families that are specified by stateless deterministic restarting automata:

	Operation								
	\cup	\cap	\sim	\cap_{reg}	R	\cdot	$*$	h^{-1}	h_λ
$\mathcal{L}(\text{stl-det-R})$	—	—	+	—	—	—	—	—	—
$\mathcal{L}(\text{stl-det-RW})$	—	—	+	—	—	—	—	—	—
$\mathcal{L}(\text{stl-det-RR})$	—	—	—	—	—	—	—	—	—
$\mathcal{L}(\text{stl-det-RRW})$	—	—	—	—	—	—	—	—	—
$\mathcal{L}(\text{stl-det-2-RR})$	—	—	+	—	—	—	—	—	—
$\mathcal{L}(\text{stl-det-2-RRW})$	—	—	+	—	—	—	—	—	—

Open Problems:

- What is the exact relationship between $\mathcal{L}(\text{stl-det-R}(W))$ and $\mathcal{L}(\text{stl-det-RR}(W))$?
- Is the expressive power of stl-RRWW-automata changed, if we require them to perform accept steps only at the right end of the tape ?
- Closure properties for language families accepted by non-deterministic types of stateless restarting automata ?
- What is the expressive power of stateless RRWW-automata that are allowed to perform several rewrite steps per cycle ?